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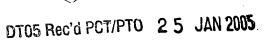
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PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007.OMB 0657-0021
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|  |  | Jnaer the P  | aperwork R   | eduction Act c | f 1995, no persons are required to respond to a | collection of infromation unless it displays a valid OMB control number. |  |  |
|--|--|--|--------------|----------------|---|--|--|--|
|  |  |  |              |                | TO THE UNITED STATES                            | ATTORNEY'S DOCKET NO. ' 10808/197  |  |  |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 |  |  |              |                | 3   | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)                       |  |  |
|  |  |  |              |                |   | Not yet assigned / 522386  |  |  |
| PCT  | /DE2   | 003/0024   |              | ION NO.        | INTERNATIONAL FILING DATE<br>July 19, 2003      | PRIORITY DATE CLAIMED July 26, 2002                                      |  |  |
|  |  | INVENTI  |              | CACAT AND      | D ACCOCIATED DECELECTION METHOL                 | DO AND MEMORY ELEMENT APPANCEMENTS                                       |  |  |
|  |  |  | R DO/EO/     |                | D ASSOCIATED PRODUCTION METHOL                  | DS AND MEMORY ELEMENT ARRANGEMENTS                                       |  |  |
| Laur   | ent B  | reuil et al.   |              |                |   |  |  |  |
| Appl   |  |  |              |                | •   | US) the following items and other information:                           |  |  |
| 1.   | _  |  |              |                | ems concerning a filing under 35 U.S.C. 3       |  |  |  |
| 2.   |  |  |              |                | UENT submission of items concerning a fi        |  |  |  |
| 3.   | ⊠<br>(21)  | indicated  |              | st to begin n  | ational examination procedures (35 U.S.C        | C. 371(f)). The submission must include items (5), (6), (9), and         |  |  |
| 4.   | $\boxtimes$  | The US h   | nas been e   | lected (Artic  | le 31).   |  |  |  |
| 5.   | $\boxtimes$  | A copy of  | f the Intern | ational Appl   | ication as filed (35 U.S.C. 371(c)(2)). (TAE    | 3 A)   |  |  |
|  |  | a.   | $\boxtimes$  | is transmitt   | ed herewith (required only if not transmitte    | ed by the International Bureau).   |  |  |
|  |  | b.   |              | has been tr    | ansmitted by the International Bureau.          |  |  |  |
|  |  | C.   |              | is not requi   | red, as the application was filed in the Uni    | ted States Receiving Office (RO/US).                                     |  |  |
| 6.   | $\boxtimes$  | An Englis  | sh translati | ion of the Int | ernational Application into English (35 U.S     | S.C. 371(c)(2)). <b>(TAB B)</b>  |  |  |
|  |  | a. 🛛 is attached hereto.   |              |                |   |  |  |  |
|  |  | b.  has been previously submitted under 35 U.S.C. 154(d)(4).   |              |                |   |  |  |  |
| 7.   |  | Amendm   | ents to the  | e claims of th | e International Application under PCT Arti      | icle 19 (35 U.S.C. 371(c)(3)).   |  |  |
|  |  | a.   |              | are transm     | itted herewith (required only if not transmit   | tted by the International Bureau).                                       |  |  |
| فهوز   |  | b.  have been transmitted by the International Bureau.   |              |                |   |  |  |  |
| 3  |  | c.   |              | have not be    | een made; however, the time limit for mak       | ing such amendments has NOT expired.                                     |  |  |
| ľ  |  | d.  have not been made and will not be made.   |              |                |   |  |  |  |
| 8.   | ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). |  |              |                |   |  |  |  |
| 9.   |  |  |              |                |   |  |  |  |
| 10.  |  | An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) and/or amendments under Article 34. |              |                |   |  |  |  |
| Iten   | ns 11  | to 20 Be   | low conce    | ern other do   | cument(s) or information included:              | j  |  |  |
| 11.  | $\boxtimes$  | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (TAB D)  |              |                |   |  |  |  |
| 12.  |  | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  |              |                |   |  |  |  |
| 13.  | A preliminary amendment. (TAB C)   |  |              |                |   |  |  |  |
| 14.  | An Application Data Sheet under 37 CFR 1.76.   |  |              |                |   |  |  |  |
| 15.  | A substitute specification.  |  |              |                |   |  |  |  |
| 16.  | A power of attorney and/or change of address letter.   |  |              |                |   |  |  |  |
| 17.  |  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.   |              |                |   |  |  |  |
| 18.  |  | A second copy of the published international application under 35 U.S.C. 154(d)(4).  |              |                |   |  |  |  |
| 19.  |  | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  |              |                |   |  |  |  |
| 20.  | $\boxtimes$  | ☑ Other items or information: Return Post card.  |              |                |   |  |  |  |
|  |  |  |              |                |   |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 12 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) Not yet assigned 1 1 5 2 2 3 8 6 PCT/DE2003/002434  |   |   |                         | ATTORNEY'S DOCKE<br>10808/197 | ATTORNEY'S DOCKET NO.<br>10808/197 |    |  |  |
|---|---|---|-------------------------|-------------------------------|------------------------------------|----|--|--|
| 21 The feller   |   | CALCULATIONS                                | PTO USE ONLY            |                               |                                    |    |  |  |
| 21. ☐ The following fees are submitted: ☐ a) Basic national fee\$300.00   |   |   |                         |                               |                                    |    |  |  |
|   |   |   |                         |                               |                                    |    |  |  |
| ☑ b) Examination fee       \$200.00         ☑ c) Search Fee       \$500.00  |   |   |                         |                               |                                    |    |  |  |
| — '   |   |   |                         |                               |                                    |    |  |  |
| TOTAL OF ABOVE CALCULATIONS \$1000  |   |   |                         |                               | -                                  |    |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |   |                         |                               | \$                                 |    |  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than $\square$ 30 months from the earliest claimed priority date   |   |   |                         |                               |                                    |    |  |  |
| (37 CFR 1.492(e)).  |   | g and outstand designation, recent training |                         |                               |                                    |    |  |  |
| Claims  |   | Number Filed                                | Number Extra            | Rate                          |                                    |    |  |  |
| Total Claims  |   | 24- 20 =                                    |                         | x \$ 50.0                     |                                    | -, |  |  |
| Independent Claims  | /a\ :6 A1   | 2- 3=                                       |                         | x \$ 200.0<br>+ \$360.0       |                                    |    |  |  |
| Multiple dependent cla  | m(s) if Appii   | icable)                                     | TOTAL O                 | F ABOVE CALCUATIONS           |                                    |    |  |  |
| Applicant claims s  | mall entity s   | status. See 37 CFR 1.27. The fees in        |                         |                               |                                    |    |  |  |
|   | india ondry o   | nation occur of it inch inch inch in        | idioatou apovo are road | SUBTOTAL                      | = \$                               |    |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed  |   |   |                         |                               |                                    |    |  |  |
| priority date (37 CFR 1.492(f)).  |   |   |                         |                               | \$                                 |    |  |  |
| TOTAL NATIONAL FEE=   |   |   |                         |                               |                                    |    |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property +  |   |   |                         |                               | e                                  |    |  |  |
| TOTAL FEES ENCLOSED=  |   |   |                         |                               |                                    |    |  |  |
|   |   | Amount to be refunded                       | \$                      |                               |                                    |    |  |  |
| <u> </u>  |   | charged                                     | \$                      |                               |                                    |    |  |  |
| a. A check in the amount of \$1200 to cover the above fees is enclosed.   |   |   |                         |                               |                                    |    |  |  |
| b. 🗆  | Please charge my Deposit Account No. 23-1925 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.   |   |                         |                               |                                    |    |  |  |
| c. 🗵  | c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. |   |                         |                               |                                    |    |  |  |
| d. Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.        |   |   |                         |                               |                                    |    |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the  |   |   |                         |                               |                                    |    |  |  |
| application to pending status.  |   |   |                         |                               |                                    |    |  |  |
| Send All Correspondence to:   |   |   |                         |                               |                                    |    |  |  |
| Brinks Hofer Gilson & Lione   |   |   |                         |                               |                                    |    |  |  |
| P.O. Box 10395<br>Chicago, IL 60610   |   |   |                         |                               |                                    |    |  |  |
| Signature Signature   |   |   |                         |                               |                                    |    |  |  |
|   | Name  |   |                         |                               |                                    |    |  |  |
| Anthony P. Curtis, Ph.D.  |   |   |                         |                               |                                    |    |  |  |
|   |   |   | Rec                     | sistration Number 46,193      |                                    |    |  |  |